

Audit Committee Plan 2019 – 2020

Terms of Reference	Report Title	Report Summary	Report Author	Date of Meeting
Internal Audit	Internal Audit Annual Plan 2019/20 - Quarter 3 Monitoring Report for the Period 1 October 2019 to 31 December 2019.	This report shows the audits finalised and any other work undertaken by the Internal Audit Section during the period 1 October 2019 to 31 December 2019.	Simon Cockings	10 Mar 2020
Internal Audit	Moderate Report - Gwyrosydd Primary School.	Follow Up report following a previous moderate rating.	Jonathan Atter	10 Mar 2020
Internal Audit	Moderate Report - Ysgol Pen y Bryn.	Follow Up report following a previous moderate rating.	Gethin Sutton	10 Mar 2020
Internal Audit	Moderate Report - Fleet Maintenance.	Follow Up report following a previous moderate rating.	Mark Barrow	10 Mar 2020
Internal Audit	Building Services Plant - Findings Update - Internal Audit Report 2019/20.	Follow Up report following a previous moderate rating.	Rob Myerscough	10 Mar 2020
Internal Audit	Moderate Report - Employment of Agency Staff.	Follow Up report following a previous moderate rating.	Adrian Chard	10 Mar 2020
Internal Audit	Draft Internal Audit Annual Plan 2020/21.	This report presents the Draft Internal Audit Annual Plan for approval.	Simon Cockings	10 Mar 2020

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Internal Audit	Internal Audit Charter 2020/21.	This report outlines the background to the Public Sector Internal Auditing Standards (PSIAS) which were introduced with effect from 1st April 2013 and presents an Internal Audit Charter for approval by the Committee.	Simon Cockings	14 Apr 2020
Internal Audit	Internal Audit Section - Fraud Function Anti-Fraud Plan for 2020/2021.	This report sets out the planned areas of activity for the Internal Audit Section's Fraud Function for 2020/21 and is designed to provide a strategic view of the areas that will be subject to examination.	Jeff Fish, Jonathon Rogers	14 Apr 2020
Internal Audit	Internal Audit Strategy & Annual Plan 2020/21.	This report presents the Internal Audit Strategy for 2020/21 to the Audit Committee for approval.	Simon Cockings	14 Apr 2020
Risk Management & Performance	Partnership Performance.	Review the mechanisms for assessing and scrutinising the risk associated with partnerships.	Adam Hill	14 Apr 2020
Risk Management & Performance	Performance Management Framework.	Performance Management Framework Report.	Richard Rowlands	14 Apr 2020
Risk Management & Performance	Presentation - Update on Internal Control Environment (Including Risk Management).	Presentation by the Deputy Chief Executive.	Adam Hill	14 Apr 2020

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Financial Reporting	Revenue and Capital Budget Monitoring 3rd Quarter 2019/20.	To report on financial monitoring of the 2019/20 revenue and capital budgets, including the delivery of budget savings.	Ben Smith	14 Apr 2020
External Audit	Wales Audit Office - Annual Audit Summary 2019	This is the Wales Audit Office summary for City & County of Swansea Council. It shows the work completed since the last Annual Improvement Report, which was issued in October 2018.	Jason Garcia	14 Apr 2020
External Audit	Wales Audit Office - Financial Sustainability Assessment 2019-20	The report assesses the sustainability of the Councils' short to medium-term financial position.	Jason Garcia	14 Apr 2020
Governance & Assurance	Draft Annual Governance Statement.	This report provides the draft Annual Governance Statement 2018/19 and allows the Audit Committee the opportunity to contribute to the annual review of governance.	Richard Rowlands	26 May 2020
Internal Audit	Internal Audit Moderate Rating Follow Up Report - Social Care Contracts Update.	Follow Up report following a previous moderate rating.	Peter Field	26 May 2020
External Audit	Wales Audit Office - 2020 Audit Plan - City and County of Swansea.	External Audit Report.	Jason Garcia	26 May 2020

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Risk Management & Performance	Wales Audit Office Proposals for Improvement: Six-month Status Update - July 2019 - December 2019.	The report presents an overview of the status of Swansea Council's response to earlier proposals for improvement made by WAO to provide assurance to the Committee on progress.	Richard Rowlands	26 May 2020
Governance & Assurance	Appointment of Additional Lay Member to Audit Committee.	Appointment of second Lay Member.	Simon Cockings	9 Jun 2020
Risk Management & Performance	Wales Audit Office - Local Government Use of Data Report – City & County of Swansea.	Wales Audit Office - Local Government Use of Data Report – City & County of Swansea. *Note – this report has been considered by Service Improvement & Finance Performance Panel and the Panel is awaiting an Action Plan arising from the report.		
Risk Management & Performance	Digital Strategy – Progress and Performance.	Digital is one of the four key strands of Sustainable Swansea and an annual update will be provided to Scrutiny in December on the whole programme, including digital. *Note – this report will be considered by Scrutiny.		